

URGENT

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EPIQ SYSTEMS		Inbound Date & Time: 8/13/2014 1:05:53 PM	
URG velo	Recipient Quar URG di SW razor invis 210 p Dima Tutto Alice • Con • Co • In • Je • Cr • Com • URG • Lasc • Cont • Co • Co • Serv • Nea • Rezz • Spec • Posta • Cope • Tracc • In tut • Num • 0800 • Intern	Winter, Greg LBH S. Lam	Logged By
		EPIQ2669	
TT706504297CH	URGENT		
FILED / RECEIVED UNITED STATES BANKRUPTCY COURT 13 2014 LEHMAN BROTHERS HOLDINGS CLAIMS CENTER C/O EPIQ BANKRUPTCY SOLUTIONS FIR STATION, P.O. BOX 5916 NAPLIEU 10150-5076 NEW YORK			
E NEUCHATELOISE			
Adresse	Phone	Contacter	
Email			
Nom Name	SOUTHERN DISTRICT OF NEW YORK		
Rue Street	LEHMAN BROTHERS HOLDINGS		
	CLAIMS CENTER		
	C/O EPIQ BANKRUPTCY SOLUTIONS		
	FIR STATION, P.O. BOX 5916		
	NAPLIEU 10150-5076 NEW YORK		
Pays	UNITED STATES OF AMERICA		
Country			
Code ISO du pays et NPA Country/ISO Code and Zip code			
N° TVA du destinataire VAT No. of the addressee			
09:00 h			

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United States Bankruptcy Court
Southern District of New-York
Lehman Brothers Holdings
Claims Center
c/o Epiq Bankruptcy Solutions LLC
FTR Station, P.O. Box 5076
New-York, NY 10150-5076
United States

N/réf. : LO0/LO42
Tél. 0041 32 723 63 58
Fax 0041 32 723 63 21

Colombier, August 08, 2014

Dear Sir or Madam,

Enclosed we send you Evidences of Transfers of Claim Form.

Thank you for your attention.

Yours faithfully,

BANQUE CANTONALE NEUCHATELOISE

A blue ink signature of Christian Debrot.

Christian Debrot
Fondé de pouvoir
Resp. Trans. et
Opérations financières

A blue ink signature of Laurence Rusconi.

Laurence Rusconi
Mandataire commerciale
Transactions et opérations
financières

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT



In re Lehman Holdings Inc. et al. Deb,

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Cantonale Neuchâteloise

Name of Transferee

Name and Address where notices to transferee should be sent:

Banque Cantonale Neuchâteloise
Av. de la Gare 12, CH - 2013 Colombier

Phone: +41 32 / 723 63 58

Last Four Digits of Acct #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____
Last Four Digits of Acct #: _____

UBS AG

Name of Transferor

Court Claim # (if known): 59233

Amount of Claim: CHF 20,000.00

Date Claim Filed: _____

Phone: +41 44 236 07 54

Last Four Digits of Acct. #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: 08/08/2014

Signature of Christian Debrot Banque Cantonale Neuchâteloise
Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Christian Debrot
Fondé de pouvoir
Resp.Trans. et Opérations financières

Signature of Laurence Rusconi Laurence Rusconi
Mandataire commerciale
Transactions et Opérations financières

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
XS0320322901	59233	October 30, 2009	Lehman Brothers Securities NV	CHF 20'000.00 out of CHF17'798'000.00

NV3385154

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to Banque Cantonale Neuchâteloise ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule I related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 03 day of July 2014.

UBS AG

By:

Name: Matthias Mohos

Title: Associate Director

By:

Name: Stephan Gfeller

Title: Associate Director